

PATENT APPLICATION
PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/003,093
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Group Art Unit	2655
		Examiner Name	Minerva Rivero
Sheet	13	of	34
		Attorney Docket Number	2001-0600C


 U.S. PATENT & TRADEMARK OFFICE
 MAY 8 2005

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Page, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.*	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office	Number (if known)	Kind Code*				
Examiner Signature					Date Considered			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. '	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MR	5	DAVID KURLANDER, TIM SKELLY, DAVID SALESIN, "Comic Chat", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996 <i>W.D.S.</i>	<input type="checkbox"/>
MR	6	POLLACK, "Happy in the East or Smiling in the West", New York Times. 12, August 1996.	<input type="checkbox"/>
MR	7	PELACHAUD, ET AL. "Generating Facial Expressions for Speech", Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>

Examiner Signature	Wen Qin	Date Considered	5/19/2005
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****EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Unique citation designation number. *See attached Kinds of U.S. Patent Documents *Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Translation is indicated by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ***Applicant is to place a check mark here if English language translation is attached.**

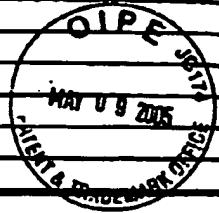
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**INFORMATION DISCLOSURE
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 (use as many sheets as necessary)
Sheet **2** of **13**

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First Named Inventor	Joern Ostermann
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Examiner Name	Minerva Rivero
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		Number	Kind Code* (if known)			
MR		US-6,532,011		Francini, et al.	3-2003	
MR		US-6,147,692		Shaw, et al	11-2000	
MR		US-4,276,570		Burson, et al.	06-1981	
MR		US-5,638,502		Murata, Yoshiuki,	06-1997	
MR		US-5,818,461		Rouet et al.	10-1998	
MR		US-6,476,815		Ando, Tsutomu	11-2002	
MR		US-6,381,346		Erasian, Arsev H.	04-2002	
MR		US-5,995,639		Kado, et al.	11-1999	
MR		US-5,689,618		Gasper, et al.	11-1997	

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		Office*	Number (if known)	Kind Code*				
Examiner Signature					Date Considered			

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MR	2	LIJUN YIN, A. BASU; "MPEG4 face modeling using fiducial points", IEEE; Image Processing, 1997. Proceedings., International Conference on, Volume: 1, 26-29. 1997	<i>Yin & Basu</i> <input type="checkbox"/>

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PATENT APPLICATION
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<i>Information Disclosure Statement by Applicant</i>		Complete if Known	
		Application Number	10/063,693
		Filing Date	November 2, 2000
		First Named Inventor	Joseph Ostermann et al.
		Group-Art Unit	2664 57
		Examiner Name	Unknown
Sheet	2	of	2
		Attorney Docket Number	2000-06002

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cas. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
MR	1	David Kurlander, Tim Skelly, David Sato, "Comic Chai", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996 <i>LO MOY</i>	<input type="checkbox"/>
MR	2	Pollack, "Happy in the East or Smiling in the West". New York Times. 12 August 1996.	<input type="checkbox"/>
MR	3	Reichardt et al., "Generating Facial Expressions for Speech". Cognitive Science. Jan. 3, 1996. Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature	<i>Min Oin</i>	Date Considered:	5/19/2005
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* Unique citation designation number: *Applicant is to place a check mark here if English language translation is attached.
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(use as many sheets as necessary)		Filing Date			
		First Name Inventor	Joern Ostermann et al		
		Group Art Unit			
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	2000-0600C

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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MR	AH	"Photo-realistic Talking-heads From Image Samples," by E. Cosatto and H. P. Graf, IEEE Transactions on Multimedia, Sept. 2000, Vol. 2, issue 3, pages 152-163.			<i>Considered</i>
MR	AI	"Audio-Visual Speech Modeling for Continuous Speech Recognition," IEEE Trans. on MultiMedia, Vol. 2, No. 3, Sept. 2000.			<i>Considered</i>
MR	AJ	"TTS Based Very Low Bit Rate Speech Coder, by K-S. Lee and R. V. Cox, Proc. ICASSP 1999, Vol. I, March 1999, pp. 181-184.			<i>Considered</i>
MR	AK	"Emu: An E-mail Preprocessor for Text-to-Speech," by Richard Sproat, Jianying Hu, and Hao Chen, IEEE Signal Processing Society 1998 Workshop on Multimedia Signal Processing, Dec. 7-9, 1998, Los Angeles, CA., USA.			<i>Considered</i>
MR	AL	"Trends of ASR and TTS Applications in Japan," Proc. of International Workshop on Interactive Voice Technology for Telecommunications Applications (IVTTA96), Sept. 1996.			<i>Considered</i>

Examiner Signature	<i>Min Lin</i>	Date Considered	9/22/2004
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		Completes if Known	
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First Named Inventor	Jocm Ostermann		
Group Art Unit	2655		
Examiner Name	Minerva Rivero		
Attorney Docket Number	2001-0600C		

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		Number	Kind Code* (If known)		
MR		US-6,219,638		PADMANABHAN, et al.	04-2001

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		Office	Number (If known)	Kind Code*	
Min Rivero				Date Considered	10/24/05

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	1				<input type="checkbox"/>

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